

# Special Purpose Statutory Audit Compliance Report

For the Period Ended: \_\_\_\_\_

Entity Name: \_\_\_\_\_

Address: \_\_\_\_\_

Registration No./CIN: \_\_\_\_\_

## 1. Objective

The objective of this report is to set out the findings arising from the Special Purpose Statutory Audit conducted in accordance with the terms of appointment and the relevant statutory and regulatory requirements.

## 2. Scope

The scope of the audit was limited to evaluating compliance with the specific provisions and requirements prescribed under applicable statutes, rules, and guidelines as detailed in the appointment letter dated \_\_\_\_\_.

## 3. Compliance Checklist Summary

Sl. No.	Compliance Requirement	Status (Complied/Not Complied)	Remarks
1	Maintenance of Statutory Registers	Complied	-
2	Timely Filing of Returns	Not Complied	Delay in annual return filing
3	Board Meeting Frequency	Complied	-

## 4. Observations & Recommendations

- Delay in Filing of Returns:** The entity has delayed filing of the annual return. It is recommended that timely compliance is ensured in future, and any penalties must be addressed promptly.
- Registers Maintenance:** Statutory registers have been maintained as required under the applicable laws.

## 5. Management Response

The management has acknowledged the audit findings and agreed to implement necessary corrective actions as recommended.

## 6. Conclusion

Based on our review and subject to the observations specified above, in our opinion, the entity has largely complied with the specified statutory provisions, except as mentioned in this report.

For **[Audit Firm Name]**

Chartered Accountants

(FRN: \_\_\_\_\_)

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Partner/Membership No.: \_\_\_\_\_

Date: \_\_\_\_\_

Place: \_\_\_\_\_

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**Important Notes:**

- This document is specific to the statutory scope as agreed and should not be used for any other purpose.
- Findings are based on documents and explanations provided by management; independent verification is limited.
- Non-compliance areas should be addressed as per applicable laws to avoid future penalties.
- This report must be read in conjunction with the terms of engagement and relevant statutory provisions.