

# Compliance Attestation Certificate

(Issued by External Auditors)

Certificate No. : CAC/2024/\_\_\_\_  
Date of Issue : \_\_\_\_\_  
Client Name : \_\_\_\_\_  
Client Address : \_\_\_\_\_  
Audit Period : From \_\_\_\_\_ to \_\_\_\_\_

This is to certify that we, **[Audit Firm Name]**, have conducted an independent compliance audit of the records, documents, and systems of the above-mentioned client for the audit period specified. Our examination was carried out in accordance with the applicable standards and guidelines prescribed for such audits.

On the basis of our review and to the best of our knowledge and belief, the client has complied with all relevant statutory and regulatory requirements as applicable during the review period, subject to the following remarks (if any):

*[Mention any observations, qualifications, or state "Nil"]*

This certificate is being issued at the request of the client for their internal use / submission to regulatory authorities as required.

\_\_\_\_\_  
For **[Audit Firm Name]**

\_\_\_\_\_  
Authorized Signatory  
Designation: \_\_\_\_\_  
Date: \_\_\_\_\_

**Firm Registration No.:** \_\_\_\_\_

*[Official Seal/Stamp]*

Address of Audit Firm:

Contact: \_\_\_\_\_

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## Important Notes:

- This certificate is based on documents and information provided by the client.
- External auditors are not responsible for any information that was withheld or misrepresented.
- This document shall not be treated as a substitute for statutory audit reports.
- It is valid only for the specified period and purpose as stated herein.
- Alteration or misuse of this certificate is strictly prohibited.