

Board Submission for External Audit Compliance Attestation

Date of Submission:

[Insert Date]

Submitted by:

[Name and Position]

Department:

[Department Name]

Audit Period:

[Start Date] - [End Date]

Purpose

To present the findings, observations, and compliance status as determined by the **External Audit** conducted for the specified period, and seek Board attestation of compliance as required by relevant regulatory standards.

Scope of Audit

The external audit assessed the following domains:

- Financial Reporting Controls
- Operational Compliance
- Regulatory Obligations
- Risk Management Procedures
- Other specified areas: [Add if applicable]

Summary of Audit Findings

Key Results:

[Provide brief summary of the key audit findings, including overall compliance rating, significant issues identified, and areas of good practice.]

Corrective Actions:

[List any major corrective actions undertaken or planned to address findings.]

Board Attestation

The Board is requested to review and acknowledge the audit findings and compliance status, and to formally attest that the organization has taken all necessary actions to address the audit findings as per the attached report.

Board Resolution:

On review of the external audit report for the period [Audit Period], the Board is satisfied with the management's response and corrective actions, and hereby attests to compliance as per regulatory requirements, subject to ongoing monitoring and implementation of agreed recommendations.

Attachments:

- External Audit Report
- Management Response & Action Plan
- Other relevant supporting documents

Signatures:

Board Chair

CEO / Managing Director

[Other Approvers, if applicable]

Important Notes:

- Ensure all information and findings are accurate and current before submission.
- Attach all supporting documents, including the full audit report and management action plans.
- Board attestation is often required by external regulators for compliance purposes.
- Keep copies of the signed attestation and all related documents for record-keeping and future reference.
- Address and track all corrective actions to closure as part of continuous improvement.