

Policy-Specific Compliance Disclosure

Addendum

This Compliance Disclosure Addendum (“Addendum”) is provided as an addendum to the primary policy agreement (*Policy Identifier*: _____), effective as of _____. By signing below, the undersigned acknowledges and agrees to comply with all policy-specific regulatory requirements as described herein.

1. Policy Reference

Policy Name: _____
Effective Date: _____

2. Disclosure Requirements

- Adherence to all applicable local, state, and federal laws/regulations related to this policy.
- Annual submission of compliance documentation for policy audit purposes.
- Immediate reporting of any detected policy violations or breaches.
- Participation in periodic training and review of policy updates as instructed.

3. Responsibilities

- Ensure all actions and practices comply with the scope of the stated policy.
- Retain supporting records and evidence of compliance for a period of at least three (3) years.
- Notify the compliance officer regarding any ambiguity in policy interpretation.

4. Acknowledgment & Sign-Off

By signing below, I confirm that I have read, understood, and agree to all compliance obligations outlined in this addendum. I acknowledge responsibility for maintaining compliance as specified.

Signature: _____

Date: _____

Name: _____

Title/Position: _____

Important Notes

- This document is intended to supplement, not replace, the core policy agreement.
- Ensure that both parties retain a signed copy for their records.
- Policy-specific compliance addenda may be periodically updated to reflect regulatory changes.

- All disclosures herein should be reviewed periodically in line with internal audit schedules.