

Compliance Questionnaire for Employee Training

Employee Name: _____

Employee ID: _____

Department: _____

Date: _____ / _____ / _____

Training & Compliance Questions

1. Did you complete the assigned compliance training module(s)?

☐

Yes

☐

No

2. Are you aware of the company's code of conduct and compliance policies?

☐

Yes

☐

No

3. Do you know how to report a compliance concern or violation?

☐

Yes

☐

No

4. Have you witnessed or experienced any compliance breaches during your work?

☐

Yes

☐

No

If yes, please describe:

5. Do you have suggestions to improve compliance training?

Employee Declaration

I confirm that the above information is accurate and complete to the best of my knowledge.

Signature

Date: _____

Important Notes

- This questionnaire must be completed and signed by all employees after each mandatory compliance training session.

- All responses will remain confidential and used only for compliance monitoring purposes.
- Falsifying information in this document may result in disciplinary action.
- Contact your compliance officer if you need clarification on any policies or procedures.