

Compliance Questionnaire for Employee Training

Employee Name: _____

Employee ID: _____

Department: _____

Date: _____ / _____ / _____

Training & Compliance Questions

1. Did you complete the assigned compliance training module(s)?

Yes

No

2. Are you aware of the company's code of conduct and compliance policies?

Yes

No

3. Do you know how to report a compliance concern or violation?

Yes

No

4. Have you witnessed or experienced any compliance breaches during your work?

Yes

No

If yes, please describe:

5. Do you have suggestions to improve compliance training?

Employee Declaration

I confirm that the above information is accurate and complete to the best of my knowledge.

Signature

Date: _____

Important Notes

- This questionnaire must be completed and signed by all employees after each mandatory compliance training session.

- All responses will remain confidential and used only for compliance monitoring purposes.
- Falsifying information in this document may result in disciplinary action.
- Contact your compliance officer if you need clarification on any policies or procedures.