

AML Self-Certification Financial Consultancies

Consultancy Information

Name of Firm: _____

Business Registration No.: _____

Country of Registration: _____

Registered Address: _____

Authorized Signatory: _____

Anti-Money Laundering Compliance

I/we, the undersigned, hereby confirm, on behalf of the above consultancy, that:

1. The consultancy has established and maintains an Anti-Money Laundering (AML) policy and procedures in compliance with all relevant legislation and regulations.
2. All staff are trained regularly on AML obligations and suspicious transactions procedures.
3. Due diligence is conducted on all clients, including identification and verification processes, in accordance with applicable laws.
4. The consultancy carries out regular risk assessments and follows record-keeping requirements as required by regulators.
5. There have been no convictions, investigations, or disciplinary actions taken against the consultancy in relation to AML violations.

Declaration

I/we certify that the information above is accurate and complete to the best of our knowledge and belief as of the date below.

Name: _____

Title/Position: _____

Date: _____ / _____ / _____

Signature: _____

Important Notes

- False or misleading declarations may result in legal or regulatory action.
- This certification should be reviewed and updated periodically, at least annually.
- Supporting evidence for AML policies should be available on request.
- This document is not a substitute for legal advice or regulatory guidance.