

Date: \_\_\_\_\_

To:  
Compliance Department  
[Name of Securities Firm]  
[Address]

## Anti-Money Laundering Declaration Letter

We, the undersigned, hereby declare that [Name of Securities Firm] ("the Firm") maintains and implements strict procedures and controls to prevent and detect any activity related to money laundering and terrorist financing in accordance with applicable laws and regulations.

The Firm affirms its commitment to complying with all relevant national and international anti-money laundering (AML) and counter-financing of terrorism (CFT) regulations, policies, and guidelines.

Specifically, the Firm certifies that:

- Comprehensive AML and CFT policies and procedures have been established, documented, and are subject to regular review and update.
- A designated Compliance Officer is responsible for overseeing and ensuring the effectiveness of the AML/CFT program.
- All employees receive ongoing AML/CFT training to recognize and handle suspicious activities.
- Customer identification and due diligence procedures are strictly enforced prior to the establishment of any client relationship.
- Records related to transactions and client identification are retained in accordance with statutory requirements.
- Suspicious transactions are reported to the relevant authorities promptly as required by law.

We declare that the Firm does not knowingly engage in or facilitate any activity which is contrary to applicable laws and regulations. We further undertake to inform the relevant authorities if any suspicious activity is detected and cooperate fully in any consequent investigations.

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Authorized Signatory  
[Name and Title]  
[Name of Securities Firm]

### Important Notes:

- This declaration should be signed by an authorized officer of the securities firm.
- The document may be requested by regulatory authorities or counterparties as part of due diligence processes.
- Always ensure the policies and procedures referenced are current and consistent with applicable laws.
- False declarations may result in severe legal and regulatory consequences.