

Compliance Criteria and Regulatory References

1. Document Overview

This document outlines compliance requirements and their associated regulatory references relevant to the organization’s operations.

2. Compliance Criteria Table

Compliance Criteria	Regulatory Reference	Applicability
Data Protection and Privacy	GDPR (EU 2016/679) Articles 5-32	All business units handling personal data
Information Security Controls	ISO/IEC 27001:2022, A.5-A.18	IT Department, Data Owners
Customer Due Diligence (CDD)	AML Regulations, FATF Recommendation 10	Finance & Customer Service
Environmental Compliance	EPA Clean Water Act, Section 402	Facilities and Operations
Workplace Safety	OSHA 29 CFR 1910	All Employees

3. Important Notes

- References must always be verified against the most recent official publication or website.
- Applicability should be reviewed regularly as organizational scope and regulations update.
- The compliance criteria listed serve as a guideline and may require further customization.
- Documentation should be reviewed by compliance or legal professionals for completeness.