

Compliance Assessment Supporting Evidence

Document Information

Document Title	Compliance Assessment Supporting Evidence
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Purpose

This document provides supporting evidence for the compliance assessment conducted for regulatory and policy adherence. It supports findings with corresponding documentation, records, and observations.

Assessment Summary

Requirement	Evidence Provided	Status
Access Control Policy	Copy of Access Control Policy (v2.1), Attendance record of security awareness training (March 2024)	Compliant
Data Protection Measures	System audit logs (Q1 2024), Privacy training certificates, Data Processing Agreement signed	Partially Compliant
Incident Response	Incident response plan document, Incident log report (Jan-May 2024)	Compliant
Vendor Management	Vendor assessment checklist, Due diligence documents	Not Compliant

Supporting Documents List

- Access Control Policy v2.1.pdf
- Security Awareness Training Attendance.xls
- System Audit Logs Q1 2024.csv
- Privacy Training Certificates.pdf
- Data Processing Agreement.pdf
- Incident Response Plan.pdf
- Incident Log Jan-May 2024.xlsx
- Vendor Assessment Checklist.docx
- Vendor Due Diligence Docs.zip

Conclusion

The evidence collected demonstrates compliance in most assessed areas, with some actions required for full alignment, specifically concerning vendor management documentation. Recommendations and next steps are detailed in the full assessment report.

Important Notes:

- This document should be updated regularly to ensure supporting evidence is current and accurate.
- All referenced evidence must be retained and made available for audit or regulatory review.
- Non-compliance areas should be tracked for remediation and progress documented.
- Ensure document confidentiality, as it may contain sensitive organizational information.