Compliance Testing Schedule

Format of Audit Program for Specific Audit Area

Audit Information

Audit Area	_____
Audit Period	_____
Prepared By	_____
Date	_____

Testing Schedule

#	Control/Procedure	Test Steps	Sample Size	Frequency	Responsible
1	_____	_____	_____	_____	_____
2	_____	_____	_____	_____	_____
3	_____	_____	_____	_____	_____

Summary & Observations

Findings	Recommendations
_____	_____
_____	_____

Important Notes

- This schedule should be tailored to each specific audit area.
- Clearly define sample sizes and testing frequency for reliable results.
- Maintain documentation for all test steps and findings for review purposes.
- Ensure segregation of duties by properly assigning responsibilities.
- Update the program as new risks or controls are identified.