

Conclusion

Based on the procedures performed and evidence obtained during the internal audit, it is concluded that the compliance controls evaluated are, in general, adequately designed and operating effectively. While certain instances of non-compliance were identified, these do not indicate significant weaknesses in the overall control environment. Management is advised to address the findings and implement the recommended corrective actions to further strengthen compliance practices.

The audit confirms that, at the time of assessment, the organization substantially adheres to the relevant regulatory standards and internal policies. Continued monitoring and periodic reviews are recommended to ensure ongoing compliance and to promptly detect and mitigate future risks.

Internal Audit Lead

Date: _____

Important Notes

- This document serves as an official record of the audit conclusion for compliance purposes.
- Conclusions are based on samples and available information at the time of audit.
- Management is responsible for implementing corrective measures as recommended.
- This report should be distributed only to authorized personnel.
- Further investigation may be warranted if additional concerns arise post-audit.