

Risk Control Evaluation and Documentation Template

1. Overview

Document Title	Risk Control Evaluation and Documentation
Date	
Process/Area	
Prepared by	
Reviewer	

2. Risk Identification

No.	Risk Description	Potential Impact	Likelihood	Current Rating

3. Existing Controls

No.	Control Description	Control Owner	Frequency	Effectiveness

4. Control Evaluation

No.	Risk Reference	Control Reference	Evaluation Criteria	Results	Improvement Actions

5. Action Plan

No.	Action	Responsible Person	Due Date	Status

6. Approval

Name	Signature	Date

Important Notes:

- Ensure all risks and controls are documented with sufficient detail and clarity.
- This template should be periodically reviewed and updated as part of the risk management process.
- Engage relevant stakeholders during the evaluation and action planning stages.
- Approval by responsible authorities is essential to validate the documented controls and actions.
- Maintain evidence and records to support the adequacy and effectiveness of controls.