

Legal and Compliance Section

1. Entity Information

- **Legal Name:** [Insert full legal name of the entity]
- **Registration Number:** [Insert registered business/company number]
- **Type of Entity:** [e.g., Private Limited, Public Limited, Partnership, NGO]
- **Date of Incorporation:** [Insert date]
- **Registered Address:** [Insert address]
- **Country of Operation:** [Insert country]

2. Compliance Status

- **Tax Compliance:** [Yes/No] (attach latest tax clearance certificate if applicable)
- **Regulatory Licenses and Permits:** [List all relevant and current licenses/permits]
- **Anti-Money Laundering Policies:** [Describe AML framework & policies]
- **Data Protection Compliance:** [Describe GDPR/Data Privacy handling]

3. Key Legal Documents

1. Certificate of Incorporation [Attach Copy]
2. Memorandum & Articles of Association [Attach Copy]
3. Board Resolution Approving Funding [Attach Copy]
4. Relevant Contracts/Agreements (if applicable)

4. Pending Legal Matters

- List any pending or threatened litigation, arbitration, or compliance issues.
- [Provide status and potential impact, or state 'None']

5. Compliance Officer

- **Name:** [Compliance Officer's Full Name]
- **Contact Information:** [Phone and email]
- **Responsibilities:** [Briefly describe]

Important Notes:

- Always provide up-to-date and accurate information in this section.
- Attach all referenced documents and certificates as required.
- Disclose any potential legal issues as early as possible.
- This section is essential for due diligence by potential funders or investors.
- Consult with legal and compliance professionals before submission.