

Investor Funding Due Diligence Checklist

This document serves as a standardized due diligence checklist for investors evaluating funding opportunities.

1. Company Overview

Item	Description/Document	Status	Remarks
Company Name & Registration	Certificate of Incorporation / Registration Details		
Business Overview	Company Presentation / Executive Summary		
Shareholding Structure	Cap Table / Shareholder List		
Founders & Key Team Bios	Resumes/Biographies of Leadership		
Company By-laws/Constitution	Copy of Memorandum & Articles of Association		

2. Financial Information

Item	Description/Document	Status	Remarks
Financial Statements	Audited Financials (last 3 years)		
Management Accounts	Latest Interim Financial Reports		
Forecast/Projections	Business Plan/Financial Model		
Debt Obligations	List and copies of debt agreements		
Tax Filings	Latest Tax Returns/Compliance certificates		

3. Legal & Compliance

Item	Description/Document	Status	Remarks
Intellectual Property	Patents, Trademarks, Copyrights, Licenses		
Material Contracts	Major Customer/Vendor Agreements		
Litigation/Disputes	Details of current/past legal issues		
Regulatory Licenses	Required Permits/Approvals		

4. Market & Business Operations

Item	Description/Document	Status	Remarks
Market Analysis	Industry Reports / Market Research		
Competitor Overview	Competitor List & Positioning		
Customer/Partner List	Major Clients & Partnerships		
Operations Overview	Process Descriptions, Key Suppliers		

Important Notes:

- This checklist is a sample and should be customized for each investment opportunity and sector.
- All information provided should be current, accurate, and supported by official documents.
- The due diligence process is confidential and intended solely for evaluation purposes.
- Additional information may be requested based on the investor's requirements.
- Completion of this checklist does not constitute investment approval or commitment.