

Compliance Matrix: Capital Funding Documentation for Partners

Document Overview

This matrix outlines the required documentation and compliance checks for all partners seeking capital funding under the partnership program.

Compliance Matrix

Requirement	Description	Required from Partner	Compliance Check
Business Registration	Evidence of company legal registration	Certificate of Incorporation or local equivalent	Verify authenticity with issuing authority
Financial Statements	Latest annual and interim financial reports	Audited statements signed by authorized personnel	Check for completeness, signatures, and audit report
Ownership Structure	Details on company's shareholder structure	Ownership chart, list of shareholders (with % holdings)	Cross-verify with business registration documents
Tax Compliance	Proof of tax submissions and good standing	Most recent tax clearance certificate	Validate through local tax authority portal
KYC/AML Documentation	Processes satisfying Know Your Customer & Anti-Money Laundering regulations	Partner's KYC policy & relevant AML due diligence documentation	Review compliance with regulatory standards
Capital Funding Proposal	Detailed document outlining intended use of funds	Comprehensive funding proposal & projections	Check for alignment with partnership objectives

Important Notes

- All documentation must be current and, where applicable, officially certified.
- Submission of incomplete or incorrect documents will delay the capital funding process.
- Partners should regularly review regulatory requirements as these may change over time.
- Confidentiality and data protection of provided documentation are strictly maintained.
- Regular audits and updates may be requested to ensure ongoing compliance.